



1fw

Customer No. 22,852  
Attorney Docket No. 04641.0083

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Jai Wook PARK et al )  
) Group Art Unit: 1714  
Application No.: 09/786,278 )  
) Examiner: Not yet assigned  
Filed: March 2, 2001 )  
)  
For: METHOD FOR PREPARING )  
CHIRAL ESTER )

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §  
1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the enclosed Supplementary European search report. Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed


documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

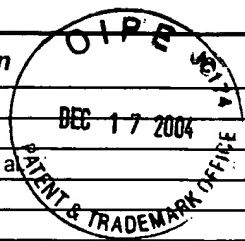
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 17, 2004

By:   
Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/jch

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Application Number	09/786,278
				Filing Date	March 2, 2001
				First Named Inventor	Jai Wook PARK et al
				Art Unit	1714
				Examiner Name	Not yet assigned
Sheet	1	of	1	Attorney Docket Number	04641.0083



U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
		US-			

**Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004**

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		PERSSON B. A. ET AL: "Ruthenium- and Enzyme-Catalyzed Dynamic Kinetic Resolution of Secondary Alcohols"; JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, vol. 121, no. 8, pages 1645-1650, (1999).	
		MENASHE N. ET AL: "Efficient catalytic reduction of ketones with formic acid and ruthenium complexes"; JOURNAL OF ORGANOMETALLIC CHEMISTRY, vol. 514, no. 1, pages 97-102, (1996).	
		TAKEHARA J. ET AL: "AMINO ALCOHOL EFFECTS ON THE RUTHENIUM(II)-CATALYSED ASYMMETRIC TRANSFER HYDROGENATION OF KETONES IN PROPAN-2-OL"; CHEMICAL COMMUNICATIONS, pages 233-234, (1996).	
		JUNG H. M. ET AL: "Practical ruthenium/lipase-catalyzed asymmetric transformations of ketones and enol acetates to chiral acetates." ORGANIC LETTERS.; vol. 2, no. 16; pages 2487-2490, (2000).	
		JUNG H. M. ET AL: "Concerted catalytic reactions for conversion of ketones or enol acetates to chiral acetates"; ORGANIC LETTERS, vol. 2, no. 3, pages 409-411, (2000).	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.